

# Alkali metal doping effect on static first hyperpolarizabilities of PMI chains

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Received: 22 July 2011 / Accepted: 22 September 2011 / Published online: 18 October 2011  
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**Abstract** An ab initio study of the effect on nonlinear optical (NLO) properties of medium-size polymethineimine (PMI) chains caused by doping with an alkali metal atom along the backbone is presented. Both the electronic and (preliminary) vibrational static first hyperpolarizabilities are investigated. Doping leads to the injection of an excess electron into the PMI chain, which is accompanied by major enhancement of its NLO response. Along with the hyperpolarizability, other electronic and structural properties depend strongly upon the position of doping along the chain. The vibrational contribution is larger than the corresponding electronic one for most of the cases studied.

**Keywords** Nonlinear optical properties · Polymethineimines · Dopant effect · Hyperpolarizabilities

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Dedicated to Professor Akira Imamura on the occasion of his 77th birthday and published as part of the Imamura Festschrift Issue.

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**Electronic supplementary material** The online version of this article (doi:10.1007/s00214-011-1058-x) contains supplementary material, which is available to authorized users.

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## 1 Introduction

In recent years, a great deal of work has been devoted to study the nonlinear optical (NLO) properties for many different types of material [1–5] due to potential applications in photonic and electro-optic devices that could be used, for example, in optical data storage, optical communication, and optical limiting [3, 4, 6–8]. Some investigations of second-order NLO properties (i.e., first hyperpolarizabilities) have concentrated on systems where the presence of “excess” electrons leads to large NLO response [9–12]. Typically the systems of interest in this regard are alkalides [13] and electrides [14, 15] that have been synthesized in the laboratory in recent years.

Previous studies have demonstrated that a large static first hyperpolarizability,  $\beta_0$ , [9–11, 16–18] can be induced in conjugated organic chains by capping on one end with an alkali atom. This results from combining asymmetry with delocalization of the electronic charge transferred to the conjugated moiety. Alkali doping can also enhance the second hyperpolarizability ( $\gamma$ ) as shown by Champagne et al. [17] and Spassova et al. [18]. They studied polyacetylene (PA) oligomers doped either at a single site at the chain center or uniformly along the conjugated backbone; both electronic and vibrational hyperpolarizabilities were considered. In Ramirez-Solis et al. [19], a comparison was made between Li and Na dopant. The most significant difference was the binding energies, and the appearance of a narrow isolated unoccupied band within the PA  $\pi$ - $\pi^*$  gap in the case of the Na dopant.

In this paper, we investigate a case that combines some key features of the studies just described, but differs significantly from each of them. In particular, we consider Me-doping (Me = Li, Na, K) at a position along the backbone (rather than at the end) of a quasilinear

$\pi$ -conjugated  $\text{H}[\text{C}(\text{H})=\text{N}]_n\text{H}$  polymethineimine (PMI) chain. Unlike PA the monomeric units of PMI are asymmetric and we, therefore, consider  $\beta$  as opposed to  $\gamma$ . Although we are primarily interested here in the electronic hyperpolarizability, a preliminary assessment of the pure vibrational contribution to this property will be made as well. For asymmetric chains, the effect of doping near one end or the other can make a large difference. Thus, we examine the site dependence at both ends and at the chain center. In order to assess trends with increasing chain length, the cases  $n = 4, 6, 8$  and 10 are studied. It turns out that the variation of the hyperpolarizability as a function of chain length is very different from that obtained previously for  $(\text{HCN})_n \cdots \text{Li}$  [9]. The effect of alkali doping is analyzed in terms of doping-induced changes in molecular geometry as well as the electronic charge/spin distribution along the chain.

## 2 Computational details

Our original intent was to carry out calculations entirely at the UMP2 level for the doublet systems of interest here. However, in order to reduce computation time, we opted for UB3LYP geometry optimizations instead. Initial test calculations revealed that there are not large structural differences between UMP2 and UB3LYP. Furthermore, the differences between UB3LYP and ROB3LYP were found to be very small (see Table 3 in Supporting Information) indicating that the effect of spin contamination on the UB3LYP geometries is negligible. As far as accuracy is concerned, the existing evidence indicates no strong preference for one of these methods (i.e., UMP2 or UB3LYP) over the other. Thus, stable structures with all real frequencies were obtained using UB3LYP/6-31+G(d) with a tight convergence threshold.

Subsequent to the geometry optimizations, it was found that spin-unrestricted methods are inadequate for determining the first hyperpolarizability, although they are satisfactory for geometry optimization. As seen in the Supporting Information trial, calculations gave very large differences between UMP2 and ROMP2 (as well as UHF and ROHF) values for this property. These differences are substantially reduced in corresponding B3LYP calculations (see Supporting Information). However, it is well-known that conventional DFT methods are unsuitable for describing the chain length dependence of the hyperpolarizability in conjugated systems [20, 21], which is one of the issues we wished to explore. Hence, the static electronic first hyperpolarizabilities,  $\beta_0$  (see Eq. 4) were evaluated at the ROMP2/6-31+G(d) level by means of the finite field approach. In support of this approach, we note that, for the smallest chain considered, the ROMP2 and

ROB3LYP values turned out to be similar (see Supporting Information for 6-31G(d) results). Moreover, a comparison of ROMP2 with ROCCSD showed little effect due to introducing single excitations.

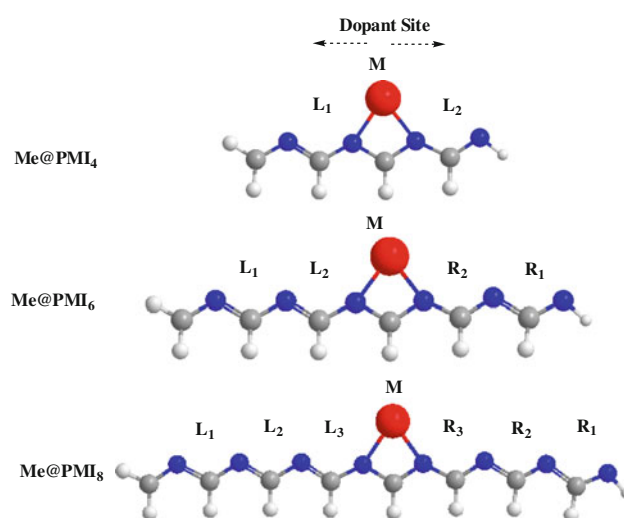
The choice of the 6-31+G(d) basis set was based on a recent recommendation in favor of its use for estimating correlated NLO properties of medium-size systems [22]. In test calculations, we found that the value of  $\beta_{0,-}$  for  $\text{Li}(\text{L}_1)@(\text{PMI})_4$  ( $\text{L}_1$  indicates the far left backbone position; see Fig. 1) obtained at the ROMP2/6-31+G(d) and ROMP2/6-31++G(d, p) levels of theory differ by <1% (See Table 2S of Supporting Information). Thus, we concluded that the 6-31+G(d) basis is sufficient to evaluate the electronic first hyperpolarizabilities.

The static electric dipole properties are defined by a Taylor series expansion of the energy as a function of an applied electric field [23, 24]:

$$E = E^0 - \mu_i F_i - \frac{1}{2!} \alpha_{ij} F_i F_j - \frac{1}{3!} \beta_{ijk} F_i F_j F_k \dots \quad (1a)$$

In Eq. 1,  $E^0$  is the zero field molecular energy,  $F_i$  is the component of the field along the  $i$ th Cartesian direction and the Einstein summation convention has been employed. The quantities  $\mu_i$  are the components of the dipole moment whereas  $\alpha_{ij}$  and  $\beta_{ijk}$  are the components of the polarizability and first hyperpolarizability tensors, respectively. Within the Born–Oppenheimer approximation, one may separate electronic and pure vibrational contributions to the (hyper)polarizability [25]; both are determined in this paper.

As a first step, the  $\alpha_{ii}$  values for  $\text{Me}@(\text{PMI})_n$  ( $\text{Me} = \text{Li}, \text{Na}, \text{K}; n = 4, 6, 8, 10$ ) were evaluated analytically by the ROMP2 method. Then, the first hyperpolarizabilities of interest (see below) were determined by numerical



**Fig. 1** Schematic geometry of the various isomers of  $\text{Me}@(\text{PMI})_n$  ( $\text{Me} = \text{Li}, \text{Na}, \text{K}; n = 4, 6, 8$ ). Blue atoms are N, light gray atoms are H, dark gray atoms are C, and pink atoms are alkali atoms

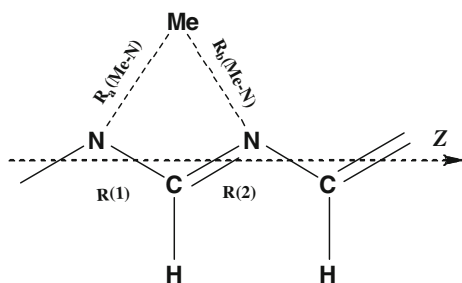
**Table 1** Properties of doped PMI chains ( $n = 4, 6, 8$ ) including (a) bond lengths (in Å) at the site (see Fig. 2 for definitions), (b) relative energies (kcal/mol) for different sites and different alkaliatom dopant, (c) NPA charge  $q$  (a.u.) on alkali atom and (d) spin density ( $n = 4, 6, 8$ ) on alkali atom

	R (1)	R (2)	$R_a$ (Me–N)	$R_b$ (Me–N)	BLA	Relative Energy	$q$ (Li)
Li(L <sub>1</sub> )@PMI <sub>4</sub> <sup>b</sup>	0.710 (0.738) <sup>a</sup>	0.713 (0.678)	1.055	1.007	–0.003 (0.060)	5.416	0.86
Li(M)@PMI <sub>4</sub>	0.706 (0.735)	0.706 (0.678)	1.035	1.022	–0.001 (0.057)	0.000	0.85
Li(R <sub>1</sub> )@PMI <sub>4</sub>	0.712 (0.740)	0.698 (0.675)	1.020	1.027	0.015 (0.065)	0.611	0.86
Na(L <sub>1</sub> )@PMI <sub>4</sub>	0.710	0.711	1.256	1.176	–0.001	5.489	0.90
Na(M)@PMI <sub>4</sub>	0.705	0.705	1.215	1.200	0.000	0.000	0.89
Na(R <sub>1</sub> )@PMI <sub>4</sub>	0.712	0.696	1.188	1.215	0.016	0.924	0.89
K(L <sub>1</sub> )@PMI <sub>4</sub>	0.710	0.710	1.512	1.368	0.000	5.153	0.93
K(M)@PMI <sub>4</sub>	0.705	0.704	1.421	1.403	0.001	0.000	0.93
K(R <sub>1</sub> )@PMI <sub>4</sub>	0.713	0.695	1.381	1.435	0.018	1.414	0.94
Li(L <sub>1</sub> )@PMI <sub>6</sub>	0.713 (0.737)	0.713 (0.680)	1.064	1.012	–0.0004 (0.057)	8.378	0.87
Li(M)@PMI <sub>6</sub>	0.704 (0.731)	0.707 (0.681)	1.041	1.032	–0.003 (0.049)	0.000	0.87
Li(R <sub>1</sub> )@PMI <sub>6</sub>	0.715 (0.739)	0.694 (0.676)	1.023	1.036	0.023 (0.063)	1.120	0.87
Na(R <sub>1</sub> )@PMI <sub>6</sub>	0.716	0.692	1.023	1.192	0.024	–	0.90
K(R <sub>1</sub> )@PMI <sub>6</sub>	0.717	0.691	1.384	1.457	0.026	–	0.94
Li(L <sub>1</sub> )@PMI <sub>8</sub>	0.714 (0.736)	0.713 (0.680)	1.070	1.015	0.001 (0.056)	9.648	0.87
Li(M)@PMI <sub>8</sub>	0.703 (0.728)	0.707 (0.683)	1.044	1.038	–0.004 (0.045)	0.000	0.87
Li(R <sub>1</sub> )@PMI <sub>8</sub>	0.717 (0.738)	0.692 (0.676)	1.026	1.040	0.026 (0.063)	1.183	0.87

The BLA = R(1)–R(2) (Å) is the bond length alternation at the dopant site

<sup>a</sup> The value in parentheses is the CN bond length for undoped PMI chains

<sup>b</sup> The L<sub>1</sub>, M and R<sub>1</sub> dopant sites are shown in Fig. 1



**Fig. 2** Bond length parameters that characterize the dopant site for Me@(PMI)<sub>n</sub>. The longitudinal  $z$  axis connects the carbon atoms at either end of the chain and the complex lies in the  $xz$  plane

differentiation [26–28] of  $\alpha_{ii}$  ( $i = x, y, z$ ) with respect to a field in the longitudinal direction ( $z$ ) as follows:

$$\beta_{iiz} = \frac{\alpha_{ii}(+F_z) - \alpha_{ii}(-F_z)}{2F_z}, \quad i = x, y, z \quad (1b)$$

Here the longitudinal  $z$  axis is determined by the line connecting the first and last carbon atoms of the chain (the complex lies in the  $xz$  plane). From preliminary calculations (see Supporting Information), it was found that an applied field of 0.0001 a.u. led to stable derivatives at zero field as desired; hence, that value was employed in Eq. 1b. Instead of comparing individual components, it will often be convenient to use the combinations:

$$\mu_0 = (\mu_x^2 + \mu_y^2 + \mu_z^2)^{1/2} \quad (2)$$

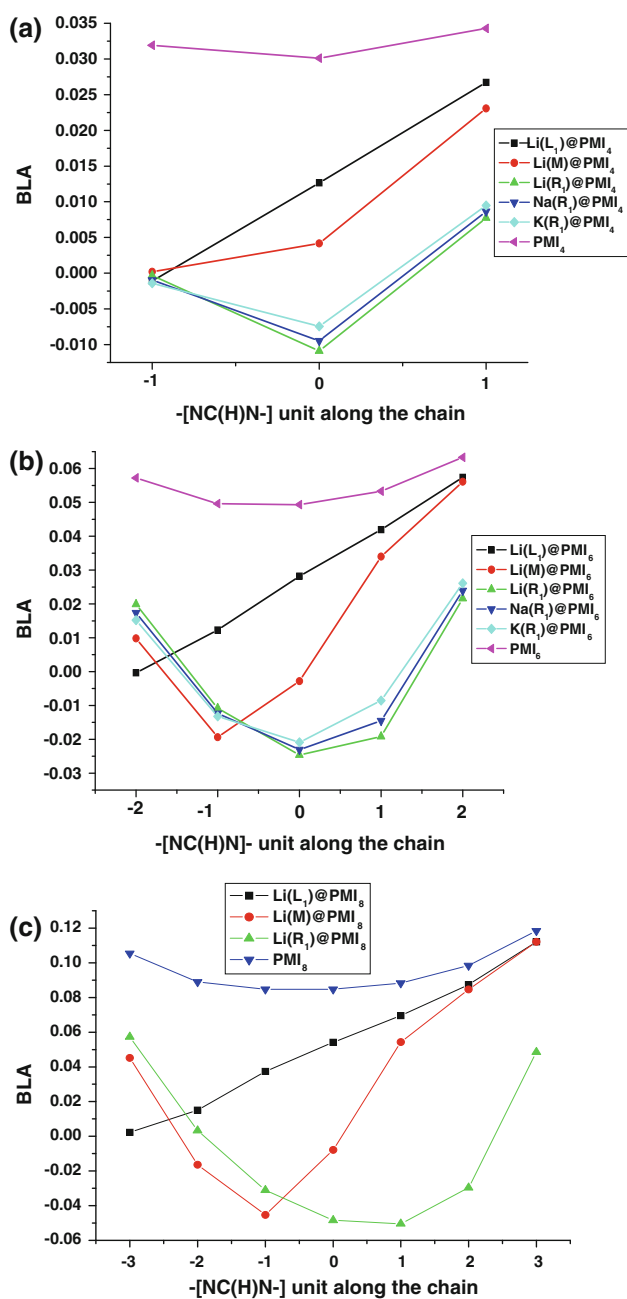
$$\alpha_0 = \frac{1}{3}(\alpha_{xx} + \alpha_{yy} + \alpha_{zz}) \quad (3)$$

$$\beta_0 = \beta_{iiz} = \frac{3}{5}(\beta_{xxz} + \beta_{yyz} + \beta_{zzz}), \quad i = x, y, z \quad (4)$$

A preliminary estimate for the static vibrational first hyperpolarizability was made for the  $n = 4$  chain at the double harmonic level of approximation. ROMP2 computations are too time-consuming to be used for this purpose and the UMP2 method was employed instead. In order to roughly compensate for the effect of spin contamination, we scaled the UMP2 vibrational hyperpolarizability using an appropriate ROMP2/UMP2 ratio of electronic properties. The ratio, shown in Eq. 5, was dictated by the fact that the vibrational first hyperpolarizability is determined by the product of an electronic dipole term and an electronic linear polarizability term (see Eq. 6 below):

$$\frac{\beta_{zzz,\text{vib}}^{\text{ROMP2}}}{\beta_{zzz,\text{vib}}^{\text{UMP2}}} = \frac{\alpha_{zz,\text{el}}^{\text{ROMP2}} \cdot \mu_{z,\text{el}}^{\text{ROMP2}}}{\alpha_{zz,\text{el}}^{\text{UMP2}} \cdot \mu_{z,\text{el}}^{\text{UMP2}}} \quad (5)$$

Note that this treatment is used only for the pure longitudinal component. However, we shall see that the hyperpolarizability defined in Eq. 4 is dominated by this



**Fig. 3** BLA (Å) as a function of position for doped and undoped PMI chains. The position “0” refers to the  $-\text{[NC(H)N]}-$  unit at the middle site; “1” to the next unit to the right, “-1” to the opposite side and so forth

component (at least for the electronic contribution), so that  $\beta_{00} \approx (3/5)\beta_{zzz}$ . As required for the consistency, the vibrational normal coordinates and frequencies that enter into the expression for the vibrational hyperpolarizability (see Eq. 6 below) were evaluated at the UMP2/6-31+G(d) optimized geometry. On the other hand, the electronic properties, which are not as sensitive to structure, were those calculated at the UB3LYP/6-31+G(d) geometry.

According to the double harmonic approximation, the static (unscaled) vibrational first hyperpolarizability (pure longitudinal component) is given by [29]:

$$\beta_{zzz}^v = [\mu\alpha] = 3 \sum_a \frac{1}{\omega_a^2} \left( \frac{\partial \mu_z^e}{\partial Q_a} \right)_0 \left( \frac{\partial \alpha_{zz}^e}{\partial Q_a} \right)_0, \quad (6)$$

where  $Q_a$  is the normal coordinate for the vibrational motion with circular frequency  $\omega_a = 2\pi\nu_a$ ; the subscript 0 on the partial derivatives indicates the equilibrium nuclear configuration. In utilizing Eq. 6, the partial derivatives were calculated numerically. Obviously, our results for the vibrational hyperpolarizabilities must be regarded with caution, not only because anharmonic effects have been omitted but also because of the approximations (i.e., scaling) made within the double harmonic treatment.

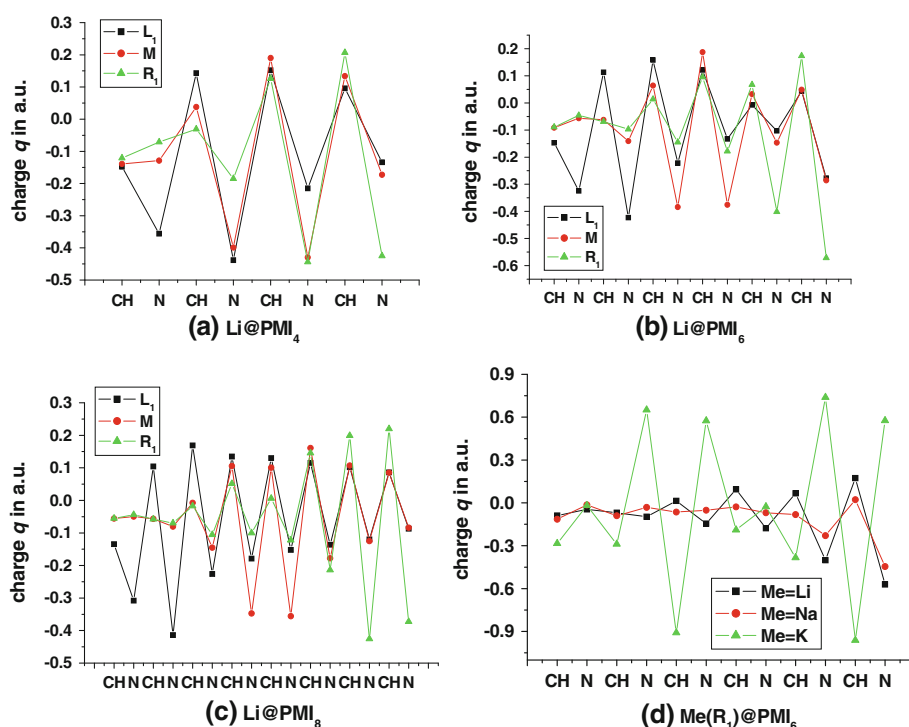
Finally, all calculations were performed with the GAUSSIAN 03 program package [30]. Net atomic charges were obtained using the natural population analysis (NPA) included in the natural bond orbital algorithm [31, 32]. The plots of molecular orbitals were generated with the GaussView program (Gaussian, Inc., Pittsburgh, PA) [33].

### 3 Results and discussion

#### 3.1 Geometrical characteristics and atomic charge/spin populations of $\text{Me@H-[C(H)N]}_n\text{-H}$ (Me = Li, Na, K; $n = 4, 6, 8$ )

The schematic geometrical structure of the various isomers of Me-doped PMI is shown in Fig. 1. As seen in the figure, the alkali atom is located at a site where it forms a quasi-symmetrical 3-center bond with two neighboring N atoms. There are  $n-1$  dopant sites for each chain. In order to get a feeling for the range of behavior, we decided to focus on the left-most (carbon end;  $L_1$ -site), right-most (nitrogen end;  $R_1$ -site) and middle (M-site) position. For Li-doping, the M-site is the most stable among these structures, followed by the  $R_1$ -site with a difference on the order of 1 kcal/mol (see Table 1). This energy difference increases with chain length but appears to be nearly converged at about 1.2 kcal/mol at  $n = 8$ . For Li-doping at the  $L_1$ -site, the energy differences are much larger.

The bond lengths that characterize the dopant site are collected in Table 1. In the table R(1) and R(2) (see Fig. 2) refer to the N–C bond (single in undoped PMI) and C–N bond (double in undoped PMI) located, respectively, at the left- and right-hand sides of the dopant site. Note that the bond length alternation ( $\text{BLA} = \text{R}(1) - \text{R}(2)$ ) at every  $-\text{[NC(H)N]}-$  unit is reduced—substantially in most instances (see Fig. 3)—from what it is at the same site of the undoped PMI chain. Compared to C=N bonds in the corresponding undoped PMI chain, the R(2) distance at the



**Fig. 4** Net electronic charge transferred to (or from) backbone N atoms and C(H) groups due to (1) Li-doping of (PMI)<sub>n</sub> chains : **a**  $n = 4$ , **b**  $n = 6$  and **c**  $n = 8$ ; (2) different alkali atoms doping at the R<sub>1</sub>-site; **d**  $n = 6$  with Me = Li, Na, and K

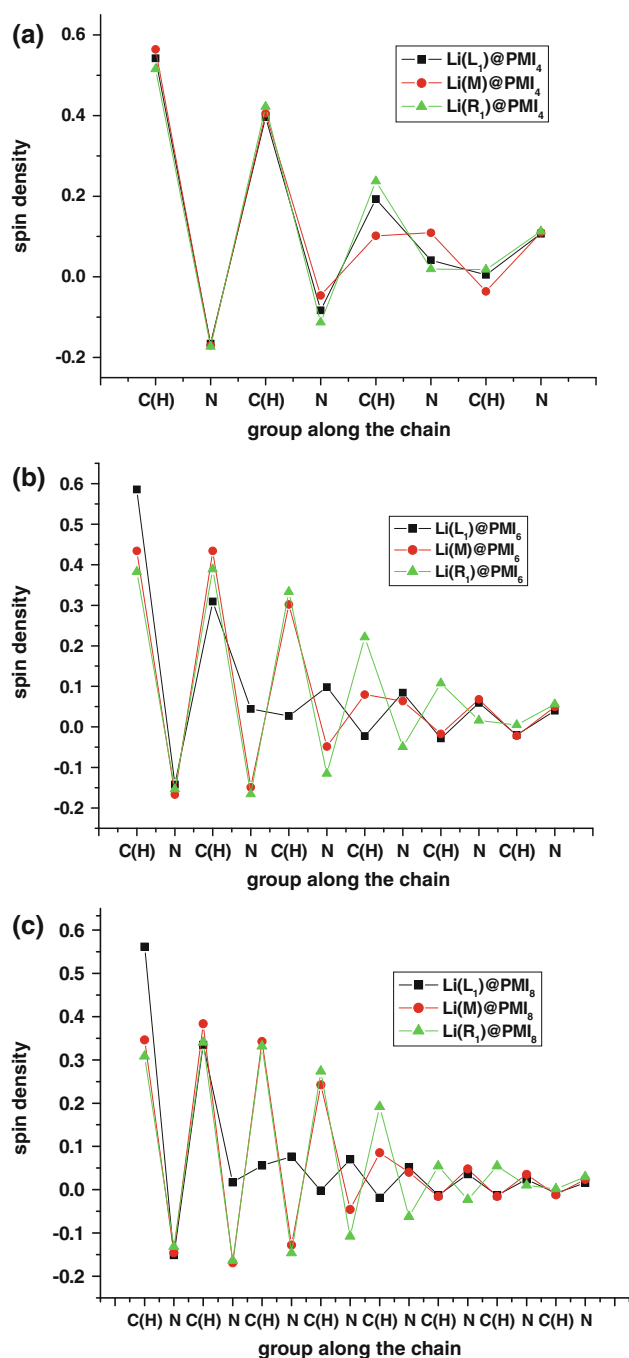
dopant sites is elongated (0.035–0.023 Å ( $n = 4$ ), 0.033–0.018 Å ( $n = 6$ ) and 0.033–0.016 Å ( $n = 8$ )) in Li@PMI<sub>n</sub>, while the R(1) bonds are shortened (0.028–0.029 Å ( $n = 4$ ), 0.024–0.027 Å ( $n = 6$ ) and 0.025–0.021 Å ( $n = 8$ )) (See Table 1) compared to C–N bonds in the corresponding undoped PMI chain. In fact, the R(1) and R(2) bond lengths are essentially the same for doping at the M- and L<sub>1</sub>-sites, whereas a difference of 0.015–0.026 Å persists at the R<sub>1</sub>-site. The BLA is larger at the R<sub>1</sub>-site for the undoped chains as well, but the difference between L<sub>1</sub> and R<sub>1</sub> is smaller than the above by a factor of 3–4.

As a function of position along the chain, the BLA exhibits a strong asymmetry. The resulting patterns, particularly for the M- and R<sub>1</sub>-sites, are quite different from what one might have expected from polaron-like behavior. For the L<sub>1</sub>-site, Fig. 3 shows that the doping eliminates the BLA at that site. Then, the BLA increases linearly as one proceeds toward the opposite end of the chain, in accord with a steady diminution of the doping effect. For  $n = 8$ , the doped and undoped BLA at the far right-hand end are essentially the same. However, the pattern is quite different for doping at the R<sub>1</sub>-site. In that case, the BLA is reduced at the site, though maybe not as much as one might have anticipated from L<sub>1</sub>-doping—with increasing chain length it levels off to roughly 50% of the undoped value. As one progresses toward the opposite chain end, instead of

the BLA being restored, it is reduced further; indeed, there is a sign change, with a maximum magnitude near the center of the chain. Beyond the chain center, the BLA increases back toward the value at the dopant site. Doping at the chain center reflects the effect of doping at either end. Surprisingly, perhaps, the BLA pattern on the right-hand side of the chain follows that for doping at the L<sub>1</sub>-site while the pattern on the left-hand side of the chain follows that for doping at the R<sub>1</sub>-site.

For alkali-atom doping at the different sites, the two Me–N distances show some noteworthy trends (see Table 1). The left-hand bond, which is substantially the longer of the two at the L<sub>1</sub>-site, decreases in length as the doping site is changed from L<sub>1</sub> to M to R<sub>1</sub>, while the shorter right-hand bond continuously increases. In fact, there is a switch-over between the M- and R<sub>1</sub>-sites, i.e., the right-hand bond becomes the longer of the two. In addition, the mean Me–N distance increases with increasing alkali atomic number from roughly 1.0 Å for Li(R<sub>1</sub>)@PMI<sub>n</sub> to 1.1–1.2 Å for Na(R<sub>1</sub>)@PMI<sub>n</sub> to 1.4 Å K(R<sub>1</sub>)@PMI<sub>n</sub>. These distances are much shorter than the sum of the ionic radius of the alkali and the covalent radius of nitrogen. It will be shown in the following that both the dopant site and the particular alkali atom are important factors affecting the value of the hyperpolarizability.

As indicated in Fig. 1, the chains are bent. We find that the bend angle,  $\theta$ , as defined by the intersection of the lines



**Fig. 5** Spin density contribution of backbone atoms for Li-doped PMI chains **a**  $n = 4$ , **b**  $n = 6$  and **c**  $n = 8$

passing through the midpoints of the two terminal C=N bonds [17] at either end is  $13.1^\circ$  ( $22.44^\circ$ ) for the  $n = 4$  ( $6$ ) undoped PMI oligomer (not shown). The effect of doping for different alkali atoms (Li, Na and K) at the  $R_1$  position, for example, is to decrease this angle to  $4.05^\circ$ ,  $4.13^\circ$  and  $5.06^\circ$  for  $n = 4$  ( $11.09^\circ$ ,  $14.36^\circ$  and  $14.95^\circ$  for  $n = 6$ ).

As determined from a natural population analysis (NPA) [32] of ROMP2/6-31+G(d) results, doping a PMI chain with an Li atom leads to essentially the same total

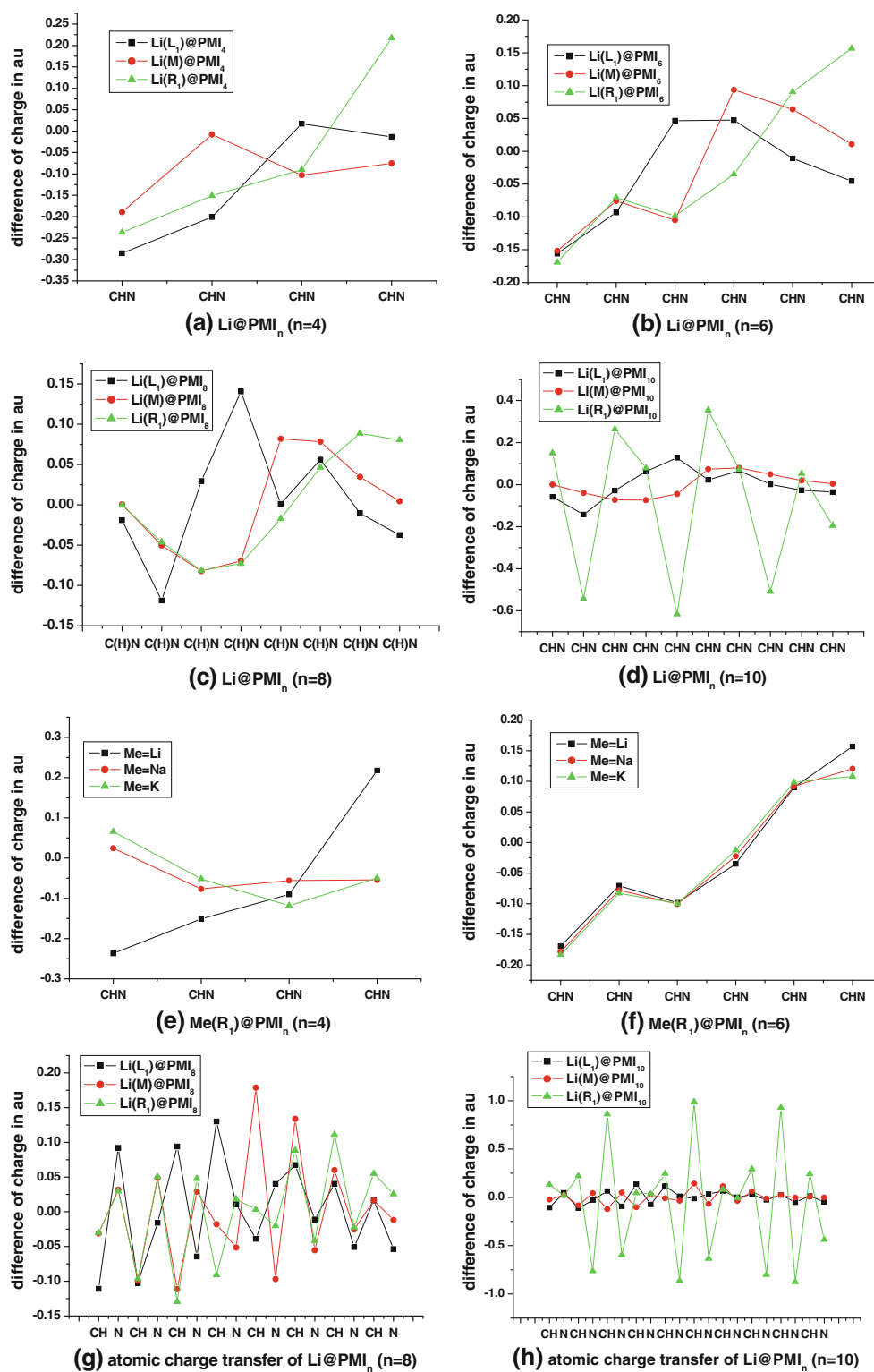
electronic charge transfer ( $0.85e$ – $0.87e$ ) regardless of the doping site or the chain length. There is a big and significant increase with the atomic number of the alkali atom. Figure 4 shows a clear oscillatory behavior in the vicinity of the dopant site where the electronic charge is transferred to the nitrogen atoms while the intervening C(H) units (as is customary the C and H charges have been combined) are either positive or much less negatively charged. These oscillations are damped as one proceeds along the chain away from the dopant site and, typically, will switch so that C(H) becomes the charge transfer site. Such damped charge oscillations are in accord with what one might expect from polaron formation.

The excess spin for Li-doping, obtained from ROMP2 calculations, differs from the charge transfer in that it lies entirely on the chain backbone. Again, there is a clear damped oscillatory behavior. However, in contrast with charge transfer, we see in Figs. 5, 6 that, regardless of dopant site, the maximum spin density resides at the left-hand end of the chain. Moreover, the excess spin is located on the C(H) groups rather than on the N atoms. For doping at the  $L_1$ -site, the magnitude of the spin density on the C(H) at the left-hand end of the chain remains close to  $0.6e$  for all chain lengths, but this value falls off rapidly for the other two doping sites from about  $0.55e$  for  $n = 4$  to about  $0.35e$  for  $n = 8$ . The oscillations are also damped most rapidly for doping at the  $L_1$ -site (see, particularly, the  $n = 6$  and  $n = 8$  chains).

Evidently, any interpretation of what happens to the alkali valence electron as a result of the doping process depends strongly upon whether that interpretation is based on charge or spin. Alkali doping of PMI oligomers is a complex process, in which electronic charge is transferred primarily to N atoms near the dopant site and the conjugation (i.e., BLA) at that site is strongly reduced, whereas spin polarization is transmitted to the C(H) group at the left-hand end of the chain. The asymmetry of the chain is reflected not only in the spin polarization but also in the stronger binding, larger BLA and greater charge localization at the  $R_1$ -site as opposed to the  $L_1$ -site.

### 3.2 Static electronic first hyperpolarizabilities of $\text{Me@PMI}_n$ (Me = Li, Na, K; $n = 4, 6, 8, 10$ )

The ROMP2/6-31+G(d) static electronic hyperpolarizabilities of undoped PMI chains and PMI chains doped with a single alkali (Li, Na or K) atom, as calculated for different chain lengths, are given in Table 2. From this table, it can be seen that (i) alkali-atom doping enhances, or greatly enhances, the magnitude of  $\beta_0$  for all chain lengths; (ii) the  $\beta_{zzz}$  component makes the dominant contribution to  $\beta_0$ ; (iii) in general, (though not always)  $\beta_0$  increases with chain length for Li-doping; (iv)  $R_1$  doping sites give the



**Fig. 6** Atomic charge differences between ground state and lowest excited state with oscillator strength  $f_0 > 0.1$  for  $\text{Me}@\text{PMI}_n$  chains ( $\text{Me} = \text{Li}, \text{Na}, \text{K}$ ;  $n = 4, 6, 8, 10$ )

largest hyperpolarizability values as compared to other sites and undoped PMI chains. These are the two most important doping sites since they are the most stable. There

are two apparently exceptional results in the table. They occur for the  $n = 8$  chain at the M-site (large negative  $\beta_0$ ) and at the R1-site (small  $\alpha_0$ ). In either case, the

**Table 2** Electronic dipole moment  $\mu_0$ , static average polarizability ( $\alpha_0$ ; see Eq. 3) and static first hyperpolarizability  $\beta_{iiz} = \beta_0$  (Eq. 4), as well as the individual components that contribute to the latter property

	$\mu_0$	$\alpha_0$	$\beta_{xxz}$	$\beta_{yyz}$	$\beta_{zzz}$	$\beta_0$
PMI <sub>4</sub>	3.000	93.45	140	10	955 (0)	663 (0)
Li(L <sub>1</sub> )@PMI <sub>4</sub>	2.259	134.47	−92	−450	2,600 (0)	1,235 (0)
Li(M)@PMI <sub>4</sub>	0.623	149.52	−349	−466	−6,709 (0)	−4,515 (0)
Li(R <sub>1</sub> )@PMI <sub>4</sub>	2.065	118.13	555	−17	4,234 (1)	2,573 (1)
Na(L <sub>1</sub> )@PMI <sub>4</sub>	2.501	139.34	−85	−470	2,541 (0)	1,192 (0)
Na(M)@PMI <sub>4</sub>	0.832	153.51	−9	−349	9,785 (0)	5,657 (0)
Na(R <sub>1</sub> )@PMI <sub>4</sub>	2.288	84.00	344	45	1,922 (1)	1,177 (1)
K(L <sub>1</sub> )@PMI <sub>4</sub>	2.865	147.69	59	−381	3,012 (0)	1,614 (0)
K(M)@PMI <sub>4</sub>	1.609	156.73	−36	−428	9,131 (0)	5,200 (0)
K(R <sub>1</sub> )@PMI <sub>4</sub>	2.687	126.41	301	−417	−1,090 (2)	−6,545 (1)
PMI <sub>6</sub>	4.702	164.86	286	25	3,412 (0)	2,234 (0)
Li(L <sub>1</sub> )@PMI <sub>6</sub>	5.122	242.92	−1,018	−1,411	1,670 (1)	8,563 (0)
Li(M)@PMI <sub>6</sub>	1.690	244.16	38	−161	7,120 (0)	4,198 (0)
Li(R <sub>1</sub> )@PMI <sub>6</sub>	3.480	284.14	−100	−295	2,219 (1)	1,308 (1)
Na(L <sub>1</sub> )@PMI <sub>6</sub>	5.366	254.25	−58	−557	2,064 (1)	1,202 (1)
Na(M)@PMI <sub>6</sub>	0.912	248.92	117	−99	6,982 (0)	4,200 (0)
Na(R <sub>1</sub> )@PMI <sub>6</sub>	3.969	280.16	250	−91	1,681 (1)	1,018 (1)
K(R <sub>1</sub> )@PMI <sub>6</sub>	4.527	281.88	−62	−180	1,069 (1)	6,270 (0)
PMI <sub>8</sub>	3.32	251.94	461	−6	8,410 (0)	5,319 (0)
Li(L <sub>1</sub> )@PMI <sub>8</sub>	8.123	378.64	890	−995	5,205 (1)	3,117 (1)
Li(M)@PMI <sub>8</sub>	3.856	343.15	62	−230	−2,967 (2)	−1,781 (2)
Li(R <sub>1</sub> )@PMI <sub>8</sub>	5.507	260.57	−332	481	2,532 (2)	1,520 (2)
PMI <sub>10</sub>	8.32	352.19	748	49	1,625 (1)	1,023 (1)
Li(L <sub>1</sub> )@PMI <sub>10</sub>	11.25	536.47	788	−450	1,107 (2)	6,664 (1)
Li(M)@PMI <sub>10</sub>	4.470	561.91	782	57	1,531 (2)	9,235 (1)
Li(R <sub>1</sub> )@PMI <sub>10</sub>	7.853	654.48	4,863	−1,172	4,130 (2)	2,500 (2)

All quantities are in a.u., and the integer in parentheses indicates multiplication by that power of 10

<sup>a</sup> 1au = 8.63922 × 10<sup>−33</sup> esu

corresponding plot of  $\alpha_0$  vs.  $F_z$  (see Eq. 1b and Supporting Information) exhibits exceptional behavior that we cannot explain as yet.

It is of interest to compare the values obtained here to other systems with large  $\beta_0$ . For the excess electron compound, Li<sup>+</sup>TCNQ<sup>−</sup> the calculated  $\beta_0$  (determined using ROMP2 with a somewhat larger basis set than we have employed) is 17,086 a.u. [34]. This is considerably smaller in magnitude than the value for Li@PMI<sub>8</sub> (for example) at all doping sites, but especially R<sub>1</sub> and M. On the other hand, for donor–acceptor polyene systems [35],  $\beta_0$  values ranging up to 153,000 a.u. have been obtained. The latter value is about the same as for Li-doping of the  $n = 8$  chain at the R<sub>1</sub>- and M-sites. This stimulated us to consider the  $n = 10$  chain, for which the last three values in Table 2 have been obtained. We note that the value of  $\beta_0$  at the R<sub>1</sub>-site is about 1.5 times larger than that calculated for the  $n = 8$  chain. How much further that value will increase for Li-doped PMI oligomers with  $n > 10$  remains to be seen.

### 3.3 Preliminary assessment of pure vibrational $\beta$

The pure electronic contribution to the static first hyperpolarizability ( $\beta^e$ ) is obtained with the nuclei frozen at the optimized geometry. It is now well-known [17, 36, 37] that there is also a pure vibrational contribution to the first hyperpolarizability,  $\beta^v$ , which may be associated with the relaxation of the geometry induced by the polarizing field. At the lowest level of the treatment,  $\beta^v$  can be estimated in the double harmonic approximation given by Eq. 6. Even at the double harmonic level, the calculations can be tedious because it is necessary to determine the harmonic vibrational force constants. Hence, we have considered only the  $n = 4$  chain. Our calculated values of  $\beta^v_{zzz}$ , and for comparison purposes  $\beta^e_{zzz}$ , are reported in Tables 3, 4. Both the scaled and unscaled values of  $\beta^v_{zzz}$  are shown in order to see the effect of scaling. Even though we suspected that the vibrational contributions might be important, their very large size is striking. They range from roughly the same



**Table 3** Lowest excited state of Me@PMI<sub>n</sub> (Me = Li, Na, K; *n* = 4, 6, 8, 10) with oscillator strength *f*<sub>0</sub> > 0.1 as determined by TDDFT (B3LYP/6-31+G(d))

	Excited state	$\Delta E_{(eV)}$	$\Delta\mu_z$	<i>f</i> <sub>0</sub>	Transition
PMI <sub>4</sub>					
Li(L <sub>1</sub> )@PMI <sub>4</sub>	3rd	3.415	1.20	0.1205	Homo-lumo + 3
Li(M)@PMI <sub>4</sub>	5th	3.665	1.179	0.1248	Homo-lumo + 5
Li(R <sub>1</sub> )@PMI <sub>4</sub>	1st	2.553	1.431	0.1280	Homo-lumo + 1
Na(R <sub>1</sub> )@PMI <sub>4</sub>	2nd	2.574	1.385	0.1210	Homo-lumo + 1
K(R <sub>1</sub> )@PMI <sub>4</sub>	2nd	2.568	1.329	0.111	Homo-lumo + 2
PMI <sub>6</sub>					
Li(L <sub>1</sub> )@PMI <sub>6</sub>	2nd	2.813	3.367	0.7813	Homo-lumo
Li(M)@PMI <sub>6</sub>	1st	2.171	1.772	0.167	Homo-lumo
Li(R <sub>1</sub> )@PMI <sub>6</sub>	1st	2.043	2.510	0.3154	Homo-lumo
Na(R <sub>1</sub> )@PMI <sub>6</sub>	1st	2.059	2.456	0.3042	Homo-lumo + 1
K(R <sub>1</sub> )@PMI <sub>6</sub>	1st	2.066	2.386	0.2881	Homo-lumo + 1
PMI <sub>8</sub>					
Li(L <sub>1</sub> )@PMI <sub>8</sub>	2nd	2.448	3.616	0.7842	Homo-lumo
Li(M)@PMI <sub>8</sub>	1st	1.886	2.760	0.352	Homo-lumo
Li(R <sub>1</sub> )@PMI <sub>8</sub>	1st	1.685	3.596	0.5338	Homo-lumo
PMI <sub>10</sub>					
Li(L <sub>1</sub> )@PMI <sub>10</sub>	2nd	2.206	3.433	0.637	Homo-lumo
Li(M)@PMI <sub>10</sub>	1st	1.667	3.849	0.605	Homo-lumo
Li(R <sub>1</sub> )@PMI <sub>10</sub>	1st	1.429	4.569	0.731	Homo-lumo

$\Delta E$  is the transition energy, and  $\Delta\mu_z$  is the difference of dipole moment between the ground state and the crucial excited states

**Table 4** Double harmonic vibrational contribution  $\beta^v$  (a.u.) to the longitudinal component of the static first hyperpolarizability for alkali-doped PMI<sub>4</sub> chains

	$\beta_{zzz}^e$	$\beta_{zzz}^v$ (scaled; Eq. 5)	$\beta_{zzz}^v$ (UMP2)
Li(L <sub>1</sub> )@PMI <sub>4</sub>	2,600 (0)	−2,982 (4)	1,573 (3)
Na(L <sub>1</sub> )@PMI <sub>4</sub>	2,541 (0)	−4,044 (4)	−1,542 (3)
K(L <sub>1</sub> )@PMI <sub>4</sub>	3,012 (0)	1,004 (3)	1,455 (2)
Li(M)@PMI <sub>4</sub>	−6,709 (0)	21 (0)	3.9 (0)
Na(M)@PMI <sub>4</sub>	9,785 (0)	190 (0)	12.2 (0)
K(M)@PMI <sub>4</sub>	9,131 (0)	1,262 (3)	7,328 (2)
Li(R <sub>1</sub> )@PMI <sub>4</sub>	4,234 (1)	1,370 (2)	2,122 (2)
Na(R <sub>1</sub> )@PMI <sub>4</sub>	1,922 (1)	1,767 (2)	5,569 (2)
K(R <sub>1</sub> )@PMI <sub>4</sub>	−1,092 (2)	−3,114 (2)	−5,099 (2)

These results were obtained using Eq. 5 for the scaled ROMP2 value and Eq. 6 for the UMP2 value as described in the text. In either case, the 6-31+G(d) basis was employed. The corresponding electronic hyperpolarizability is given for comparison, and the number in parentheses is the power of 10 by which the reported value must be multiplied

magnitude as the electronic term to more than four orders of magnitude larger. This indicates that it is essential that they be taken into account for the type of system studied here. The primary reason for the very large values is that the vibrational potential is quite shallow. Consequently, the anharmonic contributions need to be considered as well. Fortunately, there are so-called nuclear relaxation methods [38] for doing so that are computationally feasible and will be the subject of future studies.

## 4 Conclusions

The results of ab initio simulation of both first static electronic and vibrational hyperpolarizabilities of alkali-metal doped medium-sized PMI chains are presented. Dependences of NLO properties on both dopant site with respect to polymer chain and its kind are considered. It is found that alkali-metal doping leads to ground-state electron transfer from metal atom to the PMI chain. The value

of the transferred charge is almost independent, while the distribution of the transferred charge along the chain is strongly dependent on the position of alkali-atom doping. This charge transfer is accompanied with simultaneous decrease in BLA in the vicinity of doping atom compared to that of undoped PMI chain. These effects lead to significant increase in first static hyperpolarizability that is caused by PMI backbone-to-doping atom ground-to-excited charge transfer and critically depends on the position of dopant on the PMI chain. It is also shown that the vibrational contribution on hyperpolarizability by double harmonic approximation is larger than the corresponding electronic one in all considered cases except the case of  $\text{Me}(\text{L}_1)\text{@PMI}_4$  ( $\text{Me} = \text{Li}$  and  $\text{Na}$ ) configuration and almost independent on the kind of doping atoms. This indicates that the motion of PMI's backbone atoms give the main contribution in vibrational hyperpolarizability.

**Acknowledgments** We are grateful to the financial support from the National Science Foundation of China (NO.738196). All the calculations were carried out on the Linux cluster systems in our laboratory at SCNU.

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